



PTO/ISB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

## **Complete if Known**

Application Number	10/686,356
Filing Date	October 14, 2003
First Named Inventor	Shaun P. Cooley
Group Art Unit	2444
Examiner Name	Not yet known / Tae Kim/
Attorney Docket Number	44420423-8165

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		6,249,807	6/19/2001	Shaw et al	
		6,282,565	8/28/2001	Shaw et al	
		6,324,569	11/27/2004	Ogilvie et al	
		6,487,586	11/26/2002	Ogilvie et al	
		6,546,416	4/8/2003	Kirsch	
		6,640,301	10/28/2003	Ng	
		6,643,685	11/4/2003	Millard	
		6,650,890	11/18/2003	Irlam et al	
		6,654,787	11/25/2003	Aronson et al	
		6,687,740	2/3/2004	Gough	
		6,691,156	2/10/2004	Drummond et al	
		6,697,942	2/24/2004	L'Heureux	
		6,701,347	3/2/2004	Ogilvie	
		6,711,608	3/23/2004	Ogilvie	
		6,732,157	5/4/2004	Gordon et al	
		6,757,713	6/29/2004	Ogilvie et al	
		6,757,830	6/29/2004	Tarbotton et al	
		US 2002/0087641 A1	7/4/2002	Levosky	
		US 2002/0138581 A1	9/26/2002	MacIntosh et al	
		US 2003/0149726 A1	8/7/2003	Spear	
		US 2003/0191969 A1	10/9/2003	Katsikas	
		US 2003/0200334 A1	10/23/2003	Grynberg	
		US 2003/0220978 A1	11/27/2003	Rhodes	
		US 2003/0229672	12/11/2003	Kohn	
		US 2003/0233415	12/18/2003	Beyda	
		US 2004/0003283 A1	1/1/2004	Goodman et al	
		US 2004/0024823	2/5/2004	Del Monte	
		US 2004/0054887	3/18/2004	Paulsen et al	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.  
27192533V-1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.K./



PTOSS008a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Submittal for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

## Complete if Known

Application Number	10/686,356
Filing Date	October 14, 2003
First Named Inventor	Shaun P. Cooley
Group Art Unit	2144
Examiner Name	<del>Not yet known</del> /Tae Kim/
Attorney Docket Number	44420423-8165

	US 2004/0064734 A1	4/1/2004	Ehrlich	
	US 2004/0068534 A1	4/8/2004	Angermayr et al	
	US 2004/0073617 A1	4/15/2004	Milliken et al	
	US 2004/0093383 A1	5/13/2004	Huang et al	
	US 2004/0093384 A1	5/13/2004	Shipp	
	US 2004/0111480	6/10/2004	Yue	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number Country Code <sup>2</sup> Number* Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passage or Relevant Figures Appear	T <sup>4</sup>
						<input type="checkbox"/>

## OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NBEC/NWOCA Anti-Spam Tools, [online] [retrieved 7/7/04] retrieved from <a href="http://home.nwoca.org">http://home.nwoca.org</a> , July 7, 2004.	
		KULARSKI, C. "Compound Procedures for Spam Control," Highland School of Technology, January 2004.	
		"Technical Responses to Spam," November 2003, Taughannock Networks	

Examiner Signature	/Tae Kim/	Date Considered	04/09/2008
--------------------	-----------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.  
27192353V-1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.K./